

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

This Page Blank (uspto)

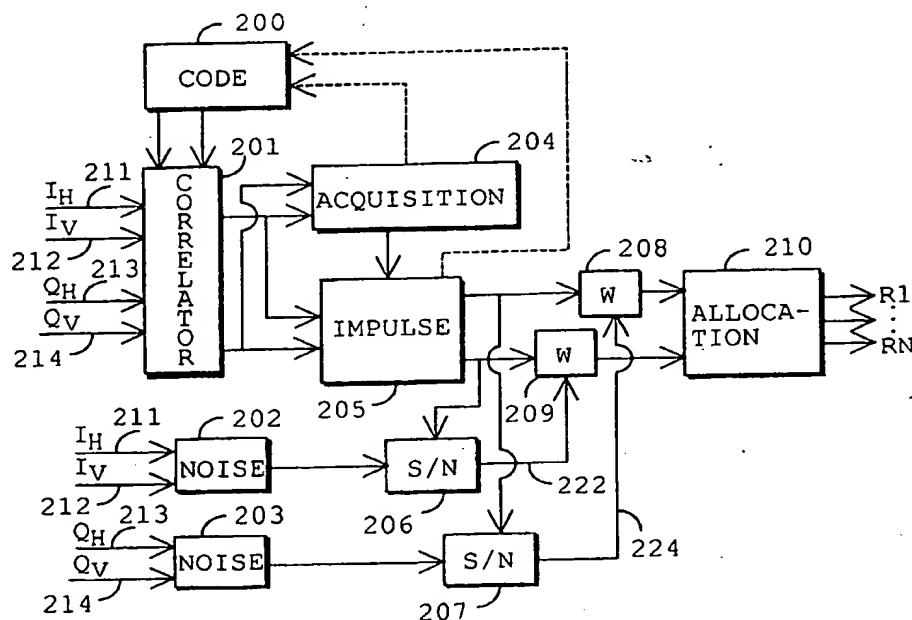


INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04B 1/707	A2	(11) International Publication Number: WO 98/29960 (43) International Publication Date: 9 July 1998 (09.07.98)
--	-----------	---

(21) International Application Number: **PCT/FI97/00818**(22) International Filing Date: **19 December 1997 (19.12.97)**(30) Priority Data:
08/774,645 **30 December 1996 (30.12.96)** **US**(71) Applicant (for all designated States except US): **NOKIA TELECOMMUNICATIONS OY [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).**

(72) Inventors; and

(75) Inventors/Applicants (for US only): **JÄRVELÄ, Mikko [FI/FI]; Kultapiiskutie 15, FIN-90580 Oulu (FI). STÄHLE, Lauri [FI/FI]; Sääksmäentie 17-19 B 16, FIN-37600 Valkeakoski (FI).**(74) Agent: **PATENTTITOIMISTO TEKNOPOLOIS KOLSTER OY; c/o Kolster OY AB, Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).**(81) Designated States: **AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).****Published***Without international search report and to be republished upon receipt of that report.*(54) Title: **METHOD FOR ALLOCATING RAKE BRANCHES AND RAKE RECEIVER**

(57) Abstract

The present invention relates to a method for allocating RAKE branches and a RAKE receiver. The solution is used in a radio system where a transmitter transmits a signal to a receiver comprising RAKE branches which receive the signal at different polarization levels. The RAKE branches are allocated to receive the signal on the basis of an impulse response, and in which method the impulse responses of the signals received at different polarization levels are weighted by values describing signal quality for optimizing allocation.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

METHOD FOR ALLOCATING RAKE BRANCHES AND RAKE RECEIVER

FIELD OF THE INVENTION

5 The present invention relates to the CDMA method (Code Division Multiple Access) used in radio systems and more exactly to allocation of a RAKE receiver when using polarization diversity.

BACKGROUND OF THE INVENTION

10 A receiver operating on the RAKE principle comprises several branches each of which may be synchronized with a different signal component at the same time. A RAKE receiver preferably operates as a diversity combiner of multipath propagated signals. RAKE receivers are used especially in CDMA receivers.

15 In the CDMA method, a narrow-band data signal of the user is multiplied by a spreading code having a considerably broader band than the data signal. The users transmit by using the same frequency band simultaneously. A separate spreading code is used over
20 each connection between a base station and a mobile station, and the signals of the users can be distinguished from one another in the receivers on the basis of the spreading code of each user. The spreading codes are preferably selected in such a way that they
25 correlate with each other as little as possible. The signals multiplied by some other spreading code do not correlate in an ideal case and are not restored to the narrow band, but they will appear as noise.

In prior art, especially in a base station of
30 a radio system, space diversity is used between RAKE branches, which means that antennas of different diversity branches are placed at some distance from each other, which distance is generally several tens of wavelengths. The purpose of this is to make the
35 correlation of the signals received by different

antennas sufficiently small. Typically, correlation is then at a rate of 0.7. As correlation is rather high, in case of fading, the signal of all the branches will be significantly reduced and in the worst case the signal is lost irrespective of diversity and the connection is broken down.

It is also known to use polarization diversity in RAKE receivers. In polarization diversity RAKE branches receive a signal at different polarization levels. In a prior art solution impulse response measurement is used for allocating RAKE branches that use polarization diversity. In that case, signals with the strongest impulse response are received, combined and detected at different RAKE branches. This method is best suited for a case where the signal levels of diversity branches are equal. As this is not generally the case when using polarization diversity, this method is then not optimal.

SUMMARY OF THE INVENTION

The object of the present method and RAKE receiver is to realize a more effective allocation of RAKE branches using polarization diversity on the basis of the characteristics of signals.

The objects of the invention are provided by using a method for allocating RAKE branches in a radio system where a transmitter transmits a signal to a receiver comprising RAKE branches which receive the signal at different polarization levels, which RAKE branches are allocated to receive the signal on the basis of an impulse response, and in which method the impulse responses of the signals received at different polarization levels are weighted by values describing signal quality for optimizing allocation.

The objects of the invention are also attained by using a RAKE receiver which is adapted to be used in

a radio system where a transmitter transmits a signal to a receiver comprising RAKE branches which are adapted to receive the signal at different polarization levels, which RAKE branches are allocated to receive the signal on the basis of an impulse response, and which receiver further comprises: means for forming an impulse response of the signal at different polarization levels; means for forming a value describing signal quality at different polarization levels; means for weighting the impulse response by a value describing signal quality for optimizing allocation.

Considerable advantages are provided with the method of the invention. The method enables a more effective allocation of RAKE branches than prior art as the signals which are the most optimal at their strength and signal noise ratio are selected for detection. In a typical solution, a limited number of RAKE branches can be allocated to one traffic channel, the branches being divisible between diversity branches.

DESCRIPTION OF THE DRAWINGS

In the following, the invention will be described in more detail with reference to the examples of the accompanying drawings, where

Figure 1 shows the received signals at two different polarization levels,

Figure 2 shows a block diagram of the prior art receiver, and

Figure 3 shows a block diagram of the receiver of the invention.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

The solution of the invention is suitable to be used especially in the CDMA radio system without being restricted thereto.

Figure 1 illustrates the behaviour of signal and noise at different polarization levels which are indicated by vertical polarization and horizontal polarization. The energy of signal and noise is shown on y-axis and delay on x-axis. As the signal is multipath propagated, a strong impulse peak 100 with delay T_1 and another peak 101 with delay T_2 can be seen in the signal. The level of noise 102, i.e. interference level, is, however, rather high on horizontal polarization. On horizontal polarization the signal also has a strong peak 150 at point T_3 and another peak 151 at point T_4 . On horizontal polarization noise 152 is, however, as generally it actually is, weaker than on vertical polarization. This difference of signal levels between horizontal and vertical polarizations is referred to as Cross Polarization Discrimination (XPD). Therefore Signal Noise Ratio (SNR) of a signal received on horizontal polarization is better than that of a signal received on vertical polarization although the impulse response of a signal received on vertical polarization and thus the signal, too, are stronger than those on horizontal polarization. A typical correlation of signals received at orthogonal polarization levels, such as horizontal and vertical polarization is of a rate of 0.1 to 0.2, which is a great deal smaller than when using space diversity. Orthogonal discrimination will be made between the polarization levels when the reception takes place at polarization levels whose normals are at an angle of 90° with respect to one another. At other angles the correlation will diminish fast as, theoretically, the effect of different polarization levels to one another is typically of form $P_H = P_V \cdot \cos^2(\alpha)$, where P_H is the effective value of the signal at horizontal level, P_V is the effective value of

the signal at vertical level and α is the angle between the normals of the polarization levels.

In the method of the invention, the transmitter transmits a signal to a receiver comprising RAKE branches which receiver receives the signal at different polarization levels. The polarization levels are preferably orthogonal, such as vertical and horizontal polarization. In the method the impulse responses of signals received at different polarization levels are weighted by values describing the signal quality of each polarization level for optimizing allocation. Weighting is preferably performed by multiplying the impulse response by a value describing signal quality, which value is preferably signal noise ratio or signal interference ratio or the like. Because the number of RAKE branches in a receiver is limited, typically 3 to 4 branches, it is important to allocate the RAKE branches optimally to the received signal components so that the received signal noise ratio or the like would be optimum.

Figure 2 shows a block diagram of a prior art receiver. The receiver comprises a code generator 200, a correlator 201, a searcher 204, means 205 for forming impulse responses of signals and means 210 for allocating RAKE branches. The data of different polarization levels 211, 212 and 213, 214 of the received signals typically comprises two known components: I and Q components (inphase and quadrature phase), in which case data is generally processed in complex form $I + jQ$. In the receiver the correlator 201 forms correlation with the received signal 211 to 213 and the spreading code from the code generator 200. The code phase, i.e. the delay of each multipath-propagated signal component formed in the searcher 204 is searched by means of correlation.

The delays of multipath-propagated signal may be preferably determined from the correlation of the received signal and spreading code as the maximum points of correlation represent delays of different paths. The delays and correlation can be, however, determined by other known methods. Correlation $C[\tau]$ can be calculated for example with formula

$$C[\tau] = \int_a^b S[t] \cdot M[t+\tau] dt, \quad (1)$$

where a and b represent the integration interval, $S[t]$ is the received signal and $M[t + \tau]$ comprises the spreading code with delay τ .

Synchronization to an incoming signal generally takes place in two phases. In the search of the code phase the required signal is to be searched from the received transmission and its phase is to be determined at an accuracy of half a chip which chip is one bit of the spreading code. When this is attained, the phase is considered locked, after which the phase of the code is fine adjusted by a code tracking loop which makes sure phase locking is retained. In the means 205 the impulse responses of the signals are formed in a manner known to persons skilled in the art by using the correlation result from the correlator 201 and the code phase data from the searcher 204. The allocation of RAKE branches is controlled in the means 210 on the basis of impulse response data.

Figure 3 shows a block diagram of a receiver of the invention which operates by the method of the invention. The receiver comprises a code generator 200, a correlator 201, means 202 and 203 for forming interference strength, a searcher 204, means 205 for forming impulse responses of signals, means 206 and 207

for forming a value describing signal quality, means 208 and 209 for weighting the impulse response and means 210 for allocating RAKE branches. In the receiver the correlator 201 forms correlation with the received
5 signal 211 to 213 and the spreading code from the code generator 200. The code phase, i.e. the delay of each multipath-propagated signal component formed in the searcher 204 is searched by means of correlation.

In the solution of the invention, the received
10 signals 211 to 214 will also enter the means 202 and 203 where the strength of interference is formed preferably as an effective value. The means 206 and 207 will derive interference strength from interference means 202 and 203 and signal strength from impulse
15 means 205, whereby the means 206 and 207 form a value describing signal quality. This value describing signal quality is signal interference ratio where noise and interference from other signals are preferably taken into consideration. The interference level is
20 determined in the means 202 and 203 for example by correlating the received signal by an incorrect spreading code or by using the phase of an incorrect spreading code. This result is preferably averaged in a long interval, whereby the average level of
25 interference will be obtained. The value describing signal quality is formed for example by dividing the correlation results of the pins of the impulse response by the interference level from the means 202 and 203. It is also possible to divide the average of the
30 correlation result by standard deviation or variance because the dependence of the signal and interference may be linear or non-linear. In the RAKE receiver of the invention this signal interference ratio 223 and 224 of preferably both orthogonal polarization level is
35 used to weight the impulse response result from the

means 205 in the means 208 and 209. This is carried out separately for both impulse response results of the polarization level. The allocation of RAKE branches R_1 , $R_2 \dots R_N$ is controlled in the means 210 with a weighted impulse response result from the means 208 and 209. In that case each RAKE branch receives a signal at the selected delay and polarization level.

Although the invention has been explained above with reference to the examples of the accompanying drawings, it is evident that the invention is not restricted thereto but it can be modified in many ways within the scope of the inventive idea disclosed in the appended claims.

We claim:

5 1. A method for allocating RAKE branches in a radio system where a transmitter transmits a signal to a receiver comprising RAKE branches which receive the signal at different polarization levels, which RAKE branches are allocated to receive the signal on the basis of an impulse response, and in which method

10 the impulse responses of the signals received at different polarization levels are weighted by values describing signal quality for optimizing allocation.

15 2. A method according to claim 1, wherein weighting is performed by multiplying the impulse response of the signal by a value describing signal quality.

3. A method according to claim 1, wherein the interference level of the signals received at different polarization levels is formed for the value describing signal quality.

20 4. A method according to claim 1, wherein the value describing signal quality is such ratio of signal and interference strengths as signal noise ratio or signal interference ratio or the like.

25 5. A method according to claim 1, wherein different polarization levels are two polarization levels essentially orthogonal to each other.

30 6. A RAKE receiver which is adapted to be used in a radio system where a transmitter transmits a signal to a receiver comprising RAKE branches which are adapted to receive the signal at different polarization levels, which RAKE branches are allocated to receive the signal on the basis of an impulse response, and which receiver further comprises:

35 means for forming an impulse response of the signal at different polarization levels;

means for forming a value describing signal quality at different polarization levels;

means for weighting the impulse response by a value describing signal quality for optimizing allocation.

7. A RAKE receiver according to claim 6, wherein the means for weighting the impulse response are adapted to multiply the impulse response by a value describing signal quality.

8. A RAKE receiver according to claim 6, comprising interference means which are adapted to form the interference level of the signals received at different polarization levels for the value describing signal quality.

9. A RAKE receiver according to claim 6, wherein the means for forming a value describing signal quality are adapted to form a ratio of signal and interference strengths, such as signal noise ratio or signal interference ratio or the like.

10. A RAKE receiver according to claim 6, wherein the RAKE branches are adapted to receive a signal at two essentially orthogonal polarization levels.

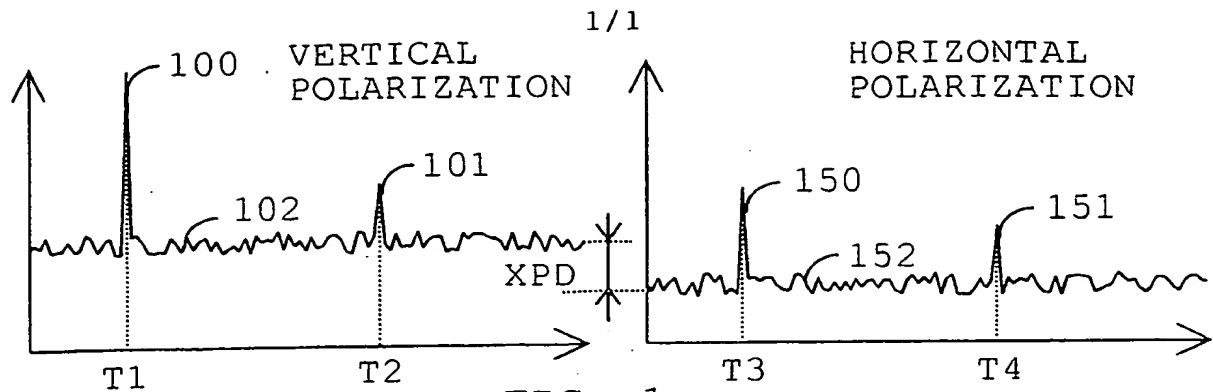


FIG. 1

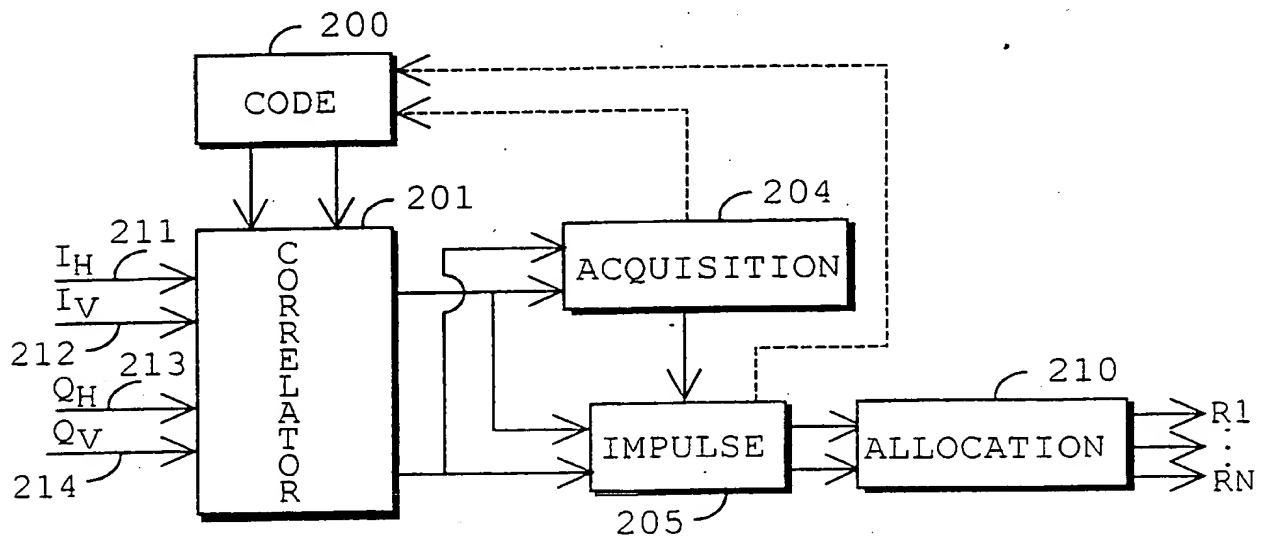


FIG. 2 PRIOR ART

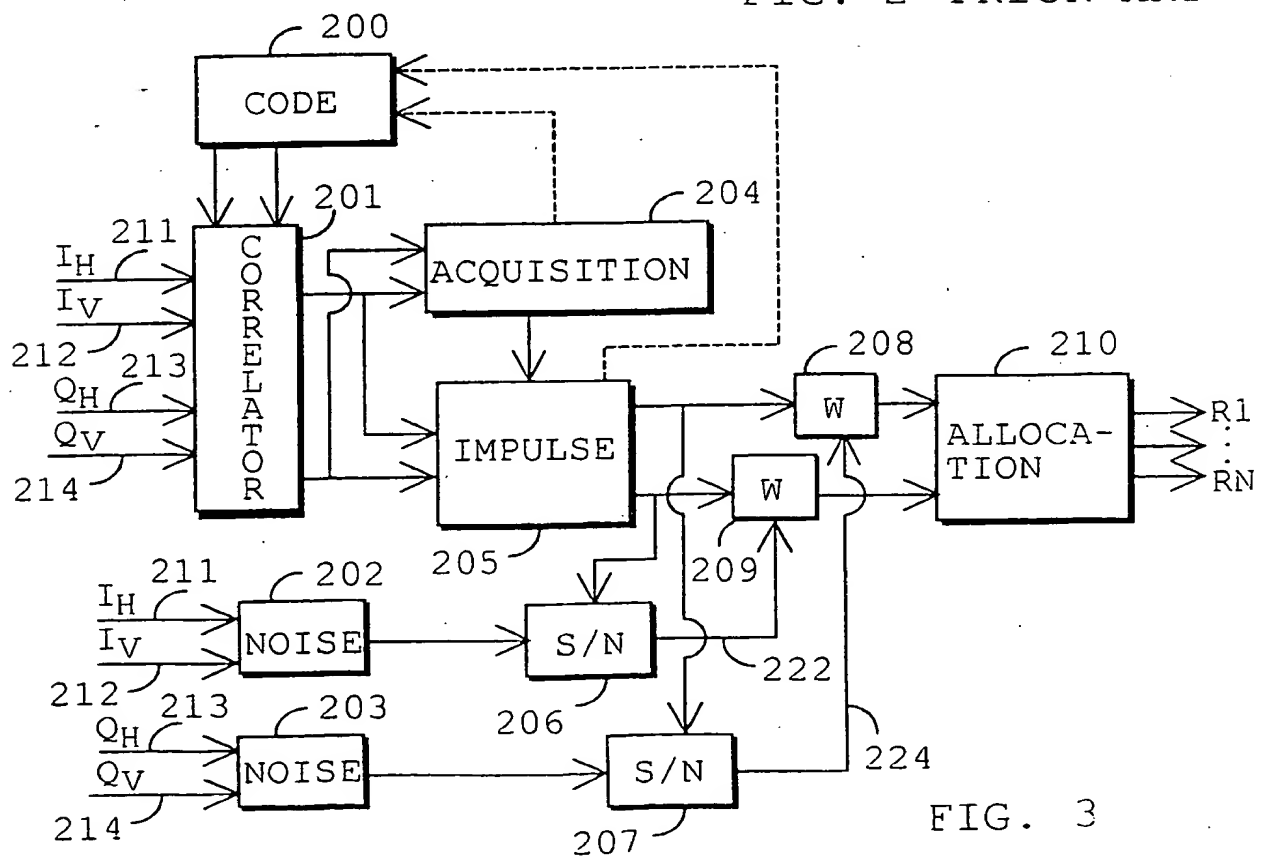


FIG. 3

This Page Blank (uspto)

The present invention relates to a method for allocating RAKE branches and a RAKE receiver. The solution is used in a radio system where a transmitter transmits a signal to a receiver comprising RAKE branches which receive the signal at different polarization levels. The RAKE branches are allocated to receive the signal on the basis of an impulse response, and in which method the impulse responses of the signals received at different polarization levels are weighted by values describing signal quality for optimizing allocation.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 97/00818

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04B 1/707

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9413085 A1 (STANFORD TELECOMMUNICATIONS, INC.), 9 June 1994 (09.06.94), page 6, line 7 - line 24; page 11, line 25 - page 13, line 1 -----	1-10

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

9 July 1998

Date of mailing of the international search report

10-07-1998

Name and mailing address of the ISA:

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Viktor Skoog

Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT
Information on patent family members

30/06/98

International application No.
PCT/FI 97/00818

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9413085 A1	09/06/94	AU 673042 B	24/10/96
		AU 5672794 A	22/06/94
		CA 2150113 A	09/06/94
		EP 0746932 A	11/12/96
		JP 8506223 T	02/07/96
		US 5375140 A	20/12/94
		US 5548583 A	20/08/96
		US 5687166 A	11/11/97
<hr/>			